

Basic Conditions Statement

Brimscombe and Thrupp Neighbourhood Development Plan

Submission Version March 2023

Appendices:

Appendix 1: Map of designated Neighbourhood Plan Area

Appendix 2: Brimscombe and Thrupp Neighbourhood Development Plan – SEA and HRA
Screening Report Consultation Draft

A Introduction

A1 Outline

- A1.1 This Basic Conditions Statement demonstrates how the Brimscombe and Thrupp Neighbourhood Development Plan ('the Plan' or 'NDP') meets the basic conditions set out in paragraph 8(2) of Schedule 4B to the Town and Country Planning Act 1990 as applied to Neighbourhood Plans by Section 38A of the Planning and Compulsory Purchase Act 2004¹.
- A1.2 The qualifying body (Brimscombe and Thrupp Parish Council) is required to produce this statement in line with regulation 15(1)(d) and regulation 22 (1)(e) of the Neighbourhood Planning (General) Regulations 2012 (as amended).
- A1.3 This Basic Conditions Statement has been prepared with regard to the following guidance / legislation:
- The Planning Aid Guide 'Approaches to Writing a Basic Conditions Statement'²
 - The Planning Advisory Service 'Guide for Councils: Meeting your legal requirements for Neighbourhood Plans'³
 - The relevant extracts from the National Planning Practice Guidance⁴, and
 - The relevant Acts and Regulations which pertain to Neighbourhood Development Plans.

¹ <https://www.gov.uk/guidance/neighbourhood-planning--2#basic-conditions-for-neighbourhood-plan-to-referendum>

² <https://www.stroud.gov.uk/media/1477/how-to-write-a-basic-conditions-statement.pdf>

³ <https://www.local.gov.uk/sites/default/files/documents/legal-compliance-guide-pr-dc9.pdf>

⁴ <http://planningguidance.planningportal.gov.uk/>

B Legal Requirements

This part of the Basic Conditions Statement confirms that in the view of Brimscombe and Thrupp Parish Council, the Brimscombe and Thrupp Neighbourhood Development Plan meets the legal requirements for a Neighbourhood Development Plan.

B1 The plan is being submitted by a qualifying body

B1.1 The Brimscombe and Thrupp Neighbourhood Development Plan is submitted by the qualifying body – Brimscombe and Thrupp Parish Council.

B2 What is being proposed is a Neighbourhood Development Plan

B2.1 The Brimscombe and Thrupp Neighbourhood Development Plan relates to planning matters (the use and development of land) and has been prepared in accordance with the statutory requirements and processes set out in the Town and Country Planning Act 1990 (as amended by the Localism Act 2011) and the Neighbourhood Planning Regulations 2012.

B3 The proposed Neighbourhood Development Plan states the period for which it is to have effect

B3.1 The Brimscombe and Thrupp Neighbourhood Development Plan specifies the time period for which it is to have effect as 2022-2040.

B4 The policies do not relate to excluded development

B4.1 The Brimscombe and Thrupp Neighbourhood Development Plan proposals do not deal with county matters (mineral extraction and waste development), nationally significant infrastructure or any other matters set out in Section 61K of the Town and Country Planning Act 1990.

B5 The proposed neighbourhood plan does not relate to more than one neighbourhood area and there are no other neighbourhood development plans in place within the neighbourhood area

B5.1 The Brimscombe and Thrupp Neighbourhood Development Plan proposals relate to the Brimscombe and Thrupp Neighbourhood Area and to no other area. There are no other neighbourhood plans relating to this neighbourhood area.

B5.2 The Brimscombe and Thrupp Neighbourhood Area was designated 22nd February 2016. On 11th March 2021 Brimscombe & Thrupp Parish Council applied for an amendment to the designated Neighbourhood Area boundary to reflect changes to the Brimscombe and Thrupp Parish Boundary in May 2020. A 6 week consultation on this proposal took place from 9th April to 21st May 2021. Following the consultation period the new boundary has now been applied. The Neighbourhood Area boundary is shown in **Appendix 1** to this Statement and within the Brimscombe and Thrupp Neighbourhood Development Plan.

C Basic Conditions

This part of the Basic Conditions Statement confirms that in the view of Brimscombe and Thrupp Parish Council, the Brimscombe and Thrupp Neighbourhood Development Plan meets all of the basic conditions required for a Neighbourhood Plan.

C1 Have regard to national policy and advice contained in guidance issues by the Secretary of State

C1.1 The National Planning Policy Framework (2021)⁵ sets out the planning policies for England, together with the National Planning Practice Guidance (NPPG)⁶. Table 1 below briefly summarises how the national policies and guidance have been taken into account for each planning policy in the Brimscombe and Thrupp Neighbourhood Development Plan. This approach follows the format employed by Arundel District Council in their Basic Conditions Statement, as referenced in Planning Aid Guidance as good practice⁷.

Table 1- NDP Policies regard to National Planning Policy and Guidance

Planning Policy Ref.	Planning Policy Title	Key National Policies	Commentary
CC1	Retrofitting Existing Buildings to Improve Energy Efficiency	NPPF Para.: 152, 153, 156, 158	Chapter 14 of the NPPF clearly sets out that plans should support the transition to a low carbon future. Policy CC1 is in conformity with this goal, the policy supports proposals that seek to improve the efficiency and resilience of existing stock. Policy CC1 also supports the provision of new small scale domestic renewable generation which again is in conformity with the NPPF's aim to transition to a low carbon future.
CC2	Renewable or Low Carbon Energy Generation in Brimscombe and Thrupp	NPPF Para.: 152, 155, 156, 158 PPG Para.: 003/5-003-20140306, 004/5-004-20140306	In conformity with paragraph 152, 155, 158 of the NPPF, Policy CC2 contributes to increased resilience to the challenges of climate change and the transition to a low carbon future by supporting the provision of renewable energy generation within the plan area.
CC3	Sustainable Transport	NPPF Para.: 104, 105, 106, 110, 112, 113	In conformity with NPPF chapter 9, Policy CC3 requires that developments are planned in line with the sustainable transport hierarchy (para. 112) and that travel plans are produced for major development (para 113).

⁵ https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1005759/NPPF_July_2021.pdf

⁶ <https://www.gov.uk/government/collections/planning-practice-guidance>

⁷ http://community21.org/downloads/Arundel%20Neighbourhood%20Plan_Basic%20Conditions%20Statement_Nov%202013.pdf

Planning Policy Ref.	Planning Policy Title	Key National Policies	Commentary
CC4	Natural Capital and Ecosystem Services	NPPF Para.: 174, 179, 180	Policy CC4 requires development to integrate positively into the natural environment and contribute to a range of ecosystem services. Figures 4,5,6 and 9 identify and map biodiversity and geodiversity assets, in conformity with NPPF para. 179. CC4 is in conformity with paragraphs 174, 179 and 180 which aim to enhance and protect biodiversity and ecosystem services.
LRD1	Locally Distinctive High Quality Design	NPPF Para.: 126, 127, 128, 129, 130, 132, 136 National Design Guide National Model Design Code	Policy LRD1 is generally in conformity with chapter 12 of the NPPF. The policy seeks to ensure development is of high quality, locally distinctive design (NPPF para 126, 130) by requiring development to respond positively to the National Model Design Code (NPPF para 128) as well as locally specific evidence in the Brimscombe and Thrupp Community Design Statement (NPPF para 127, 129, 132, 136).
LRD2	Locally Valued Views	NPPF Para.: 130, 174	Policy LRD2 seeks to protect important views and vistas both within the developed area and the wider landscape. This helps to achieve well designed places in conformity with NPPF para. 130 while also serving to protect valued landscapes in line with NPPF para. 174.
LRD3	Pre-application Community Engagement	NPPF Para.: 39, 40, 41, 42, 43, 44, 45, 46, 132 PPG Para. 019 Reference ID: 26-019-20191001	Policy LDR3 supports and encourages pre application community engagement and sets out a framework for this engagement to take place. This is in conformity with NPPF paragraphs 39, 40, 41, 42, 43, 44, 45, 46, 132. Pre-application engagement and front-loading is identified as having significant potential to improve efficiency and effectiveness of the planning application system for all parties (NPPF para. 39). The policy encourages and enables early and effective pre-application engagement between developers, communities, and other relevant stakeholders, and puts in place a coherent and consistent approach, in the form of the protocol to do that. Though it cannot be required it can be 'encouraged' where considered beneficial (NPPF para 40). The Pre-application Community Engagement Protocol (included as Appendix 1 in the Neighbourhood Plan) is aimed at resolving any potential issues at the pre-application stage with input from the Parish

Planning Policy Ref.	Planning Policy Title	Key National Policies	Commentary
			<p>Council and community of Brimscombe and Thrupp (NPPF para. 41 / 42). It also enables design quality to be considered at the earliest stages and to enable the demonstration of early, proactive and effective community engagement (para 128 / 130). The 2019 Planning Practice Guidance Note on Design also emphasises the importance of community engagement:</p> <p>“Communities can effectively shape both design policies and development through a collaborative process of meaningful participation. Early engagement and linking engagement activities to key stages of 7 design decision making and plan-making can empower people to inform the vision, design policies and the design of schemes.” (para 019).</p>
E1	New and Start-up Businesses	NPPF Para.: 81, 82, 83, 84	In conformity with NPPF paragraphs 81, 82, 83, 84 Policy E1 seeks to create an environment which is supportive of local business’s needs that allows businesses to establish themselves and grow as needed within the neighbourhood area.
E2	Home Working	NPPF Para.: 82, 83	Policy E2 supports proposals for development that would allow for increased provision of working from home and/or from within the parish. This is in conformity with NPPF paragraphs 82 and 83 which state that planning policies should accommodate new and flexible working practices and address specific locational requirements of different sectors.
CW1	Local Green Spaces	NPPF Para.: 92, 93, 98, 99, 101, 102, 103	Policy CW1 designates and protects demonstrably special Local Green Spaces safeguarding them against development except in very special circumstances. Green spaces have been assessed to ensure they meet all the criteria laid out in NPPF para. 102. This is in conformity with NPPF para 92-103.
CW2	Green Spaces of Community Significance	NPPF Para.: 98, 99	Policy CW2 identifies additional important green and blue infrastructure beyond those identified in CW1 and requires development to protect and where possible enhance these important spaces. This is in conformity with paragraphs 98 and 99 of the NPPF.
CW3	Footpaths, Bridleways and Cycleways	NPPF Para.: 92, 104, 106, 112	Policy CW3 identifies the local active travel network and requires development nearby to integrate with and where appropriate enhance the existing network to promote sustainable travel and healthy lifestyles within the plan area. This is

Planning Policy Ref.	Planning Policy Title	Key National Policies	Commentary
			in conformity with NPPF paragraphs 104, 92, 104, 106, 112.
CW4	Community Facilities	NPPF Para.: 92, 93	Policy CW4 seeks to prevent the unnecessary loss of valued community facilities and support the provision of new or upgraded facilities where needed. This is in conformity with NPPF paragraphs 92, 93.

C2 Contributes to the achievement of sustainable development

C2.1 The below approach follows the format employed by Broughton Astley Parish Council in their Basic Conditions Statement, as referenced in Planning Aid Good Practice Guidance⁸.

C2.2 The key ways that the Brimscombe and Thrupp Neighbourhood Development Plan will help to contribute to meeting the objectives of sustainable development are detailed below:

- The Neighbourhood Plan contains policies which relate to the environmental, social and economic aspects of the Parish (Policies: ALL)
- The Neighbourhood Plan seeks to set out a coherent local strategy for the development of Brimscombe and Thrupp Parish: considering housing, employment, recreation, and community facilities. [Policies: CC1, LDR1, E1, E2, CW1, CW2, CW3, CW4)
- The Neighbourhood Plan supports the protection and enhancement of environmental and historic assets. [Policies: CC4, LDR2, CW1, CW2, CW4]
- The Neighbourhood Plan supports the protection and enhancement of local facilities and infrastructure. [Policies: The Neighbourhood Plan is positive and proactive. It seeks to shape and direct housing, employment and retail development to the most appropriate locations in line with national policy considerations. [Policies: CC2, CC3, CW2, CW3, CW4)

C3 Is in general conformity with the strategic policies contained in the Development Plan for the area

C3.1 The local policy which applies to the Brimscombe and Thrupp Neighbourhood Development Plan Area can be found in the Stroud District Local Plan (adopted November 2015).

C3.2 The new Stroud District Local Plan has been submitted for examination, therefore reference is made within Table 2 to the emerging draft policies (**SDLP(d)**).

C3.2 As the adopted and emerging Local Plan is strategic in nature, general conformity will be considered against this.

⁸ <http://broughtonastley.leicestershireparishcouncils.org/uploads/1752a98c6512905414323017.pdf>

C3.3 The qualifying body considers that Brimscombe and Thrupp Neighbourhood Development Plan is in general conformity with the strategic policies contained in the Development Plan for the area. This is outlined in **Table 2** below.

Table 2 – NDP Policies general conformity with the Strategic Development Plan Policy

Stroud District Local Plan (SDLP)

Draft Stroud District Local Plan (SDLP(d))

Planning Policy Ref.	Planning Policy Title	Key Strategic Development Plan Cross Reference	Commentary
CC1	Retrofitting Existing Buildings to Improve Energy Efficiency	SDLP ES1 CP14 SDLP(d) DCP1, CP14, ES1	Core Policy CP14 supports high quality sustainable development and lists ways in which this should be achieved including support for measures to minimise energy use and maximise renewable energy production. Delivery Policy ES1 requires maximisation of energy efficiency and integration of the use of renewable energy. Policy CC1 conforms with these policies by supporting the retrofitting of existing buildings to both conserve energy and produce renewable energy. Policy CC1 is also in conformity with the emerging new core policy DCP1 - Delivering Carbon Neutral by 2030, again by improving efficiency and renewable energy production.
CC2	Renewable or Low Carbon Energy Generation in Brimscombe and Thrupp	SDLP ES2 SDLP(d) DCP1, ES2	Delivery Policy ES2 supports proposals for renewable and low carbon energy generation. Policy CC2 is in conformity with this by also encouraging renewable and low carbon energy generation in the plan areas and provides local detail on how this might be achieved. Policy CC2 is also in conformity with emerging new core policy DCP1 - Delivering Carbon Neutral by 2030 by helping to deliver renewable energy production.
CC3	Sustainable Transport	SDLP EI16, EI13, EI12 ES3 SDLP(d) CP13, EI12	Policy CC3 is in conformity with Delivery Policy ES3 and Delivery Policies EI12 and EI16 by requiring development to maximise sustainable transport modes which in turn reduces development impact on highway safety and ensures development is integrated with the existing transport network. Policy CC3 also conforms with Delivery Policy EI13 by requiring development to contribute to the implementation of an improved cycling and pedestrian environment.

Planning Policy Ref.	Planning Policy Title	Key Strategic Development Plan Cross Reference	Commentary
CC4	Natural Capital and Ecosystem Services	<p>SDLP ES6, ES8, ES11, ES13, ES14</p> <p>SDLP(d) DCP1, ES6, ES8, DES2</p>	<p>Policy CC4 is in conformity with Delivery Policies ES6, ES8, ES13 and ES14 by requiring that development integrates positively within, and has an overall positive impact on the natural environment. Policy is also in conformity with Delivery Policy ES11 by supporting the integration of and improved access to green and blue infrastructure (including the district canals).</p> <p>Policy CC4 also supports the sustainable production of food and reduction in pollution levels in conformity with New Core Policy DCP1. Policy is also in conformity with New Delivery Policy DES2 which aims to protect and enhance Green Infrastructure.</p>
LRD1	Locally Distinctive High Quality Design	<p>SDLP CP4, CP14, ES12, ES10</p> <p>SDLP(d) CP4, ES10, ES12</p>	<p>Policy LRD1 is in conformity with Core Policy CP4 and delivery policy ES10 by requiring development to have regard to the local Community Design Statement, and National Model Design Guide while also requiring many of the same key design principles as listed in CP4. Policy is in conformity with CP14 in particular, directly supporting principle 5 and 9. By requiring development to respond positively to the principle of Building for life the policy is also in conformity with Delivery Policy ES12.</p>
LRD2	Locally Valued Views	<p>SDLP ES7</p> <p>SDLP(d) ES7</p>	<p>Policy LRD2 seeks to protect important views and vistas both within the developed area and the wider landscape and is therefore in conformity with Delivery Policy ES7.</p>
LRD3	Pre-application Community Engagement	Stroud District Council Statement of Community Involvement	<p>Policy LDR3 supports and encourages pre application community engagement and sets out a framework for this engagement to take place. This is in conformity with the Stroud District Council Statement of Community Involvement which states that developers should consult with the community where appropriate - Policy LRD3 along with the Pre Application Engagement Protocol sets out a framework for doing this.</p>
E1	New and Start-up Businesses	<p>SDLP EI10, CP11</p> <p>SDLP(d) CP11, EI10</p>	<p>In conformity with Core Policy CP11 and Delivery Policy EI10 Policy E1 supports the provision of new startup businesses and tourism where appropriate.</p>
E2	Home Working	SDLP HC8	<p>Policy E2 is in conformity with Delivery Policy HC8 by supporting proposals for extensions and adaptations of residential buildings for business</p>

Planning Policy Ref.	Planning Policy Title	Key Strategic Development Plan Cross Reference	Commentary
		SDLP(d) CP11	uses incidental to the enjoyment of the dwelling. Policy E2 is also in conformity with Core Policy CP11 by supporting proposals that would support increased self containment of settlements in terms of homes/jobs balance.
CW1	Local Green Spaces	SDLP ES6, ES13, SDLP(d) DHC6, DES2	Policy CW1 protects important green spaces by designating them as Local Green Spaces. This is in conformity with Delivery Policy ES13 by protecting existing open space. Likewise, the policy is in conformity with Delivery Policy ES6 by safeguarding biodiversity sites from development. Policy CW1 is also in conformity with New Delivery Policies DHC6 and DES2 which seek to protect green and open spaces within development.
CW2	Green Spaces of Community Significance	SDLP ES6, ES13, SDLP(d) DHC6, DES2	Policy CW2 is in conformity Delivery Policy ES6 by safeguarding biodiversity sites from development. Likewise the policy is in conformity with Delivery Policy ES13 by protecting existing open space. Policy CW2 is also in conformity with Delivery Policies DHC6 and DES2 which seek to protect green and open spaces within development.
CW3	Footpaths, Bridleways and Cycleways	SDLP CP13, EI12, EI13 SDLP(d) CP13, EI12, EI13	By requiring the integration of Public Rights of Way, Bridleways and Cycleways into developments Policy CW3 seeks to provide an alternative to car transport in and around new development and therefore is in conformity with Core Policy CP13 and Delivery Policy EI12. Policy CW3 also requires the contributions to and extension of the existing network where possible in conformity with Delivery Policy EI13.
CW4	Community Facilities	SDLP EI6, EI11, ES15 SDLP(d) DHC6, EI16, EI11	Policy CW4 seeks to safeguard a range of community facilities including sports, health, youth and other community facilities; this is in conformity with Delivery Policy EI6, EI11 and ES15. Policy CW4 is also in conformity with New Delivery Policy DHC6 which seeks to protect existing open spaces within development.

C4 Does not breach and is otherwise compatible with EU obligations

C4.1 The Neighbourhood Plan is compatible with EU obligations around human rights, habitat protection and environmental impacts. Specifically:

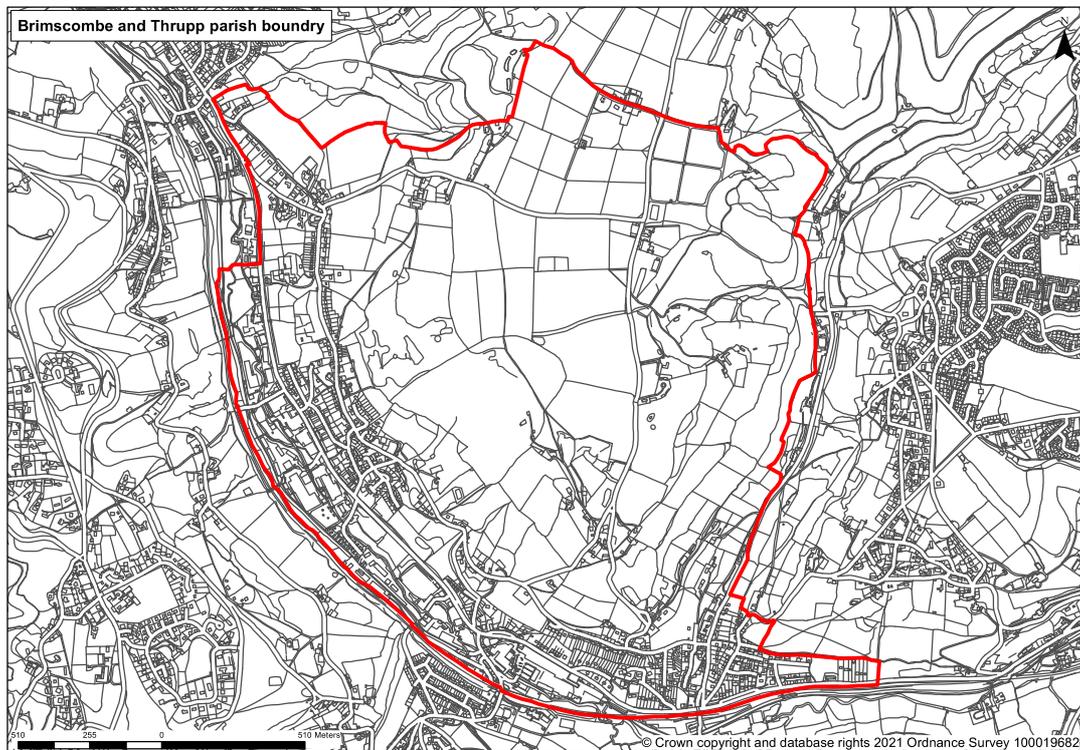
- i) Where Local Green Space designations and site allocations are proposed, consultation and notification of inclusion in the NDP has occurred with the landowners concerned. Specific Local Green Space consultation undertaken is outlined in the Local Green Space Appendix to the Neighbourhood Plan.
- ii) In addition, others who are affected by the proposals have been adequately consulted and have had the opportunity to comment on the proposals. The details of the consultation on the Plan are outlined in the Brimscombe and Thrupp Neighbourhood Plan Consultation Statement which is found on the relevant page of the Brimscombe and Thrupp Parish Council website: <https://www.brimscombeandthrupp-pc.gov.uk/ndp.html>
- iii) The Brimscombe and Thrupp Parish Neighbourhood Plan group has prepared an evidence base which includes well documented evidence to underpin the policy approach. The evidence base can be found on the relevant page of the Brimscombe and Thrupp Parish Council website: <https://www.brimscombeandthrupp-pc.gov.uk/ndp.html>
- iv) Stroud District Council, in line with the requirements of the European Directive 2001/42/EC, has an obligation to determine whether the Plan is likely to have significant environmental effects. To this end, Stroud District Council carried out a Strategic Environmental Assessment (SEA) screening on the draft Neighbourhood Plan, and prepared an SEA screening determination report for the Neighbourhood Plan in September 2022. The screening report states: *'Following analysis of likely significant effects, Stroud District Council considers that the NDP is unlikely to have any significant effects on the environment. Therefore, the Council determines that Strategic Environmental Assessment of the Brimscombe and Thrupp Neighbourhood Development Plan is not required.'* A copy of the SEA screening report is included in Appendix 2.

C5 Does not breach the requirements of Chapter 8 Part 6 of the Conservation of Habitats and Species Regulations 2017(d)

C5.1 Stroud District Council also undertook a Habitat Regulation Assessment (HRA) screening on the draft Neighbourhood Plan in September 2022, to consider whether the Neighbourhood Plan would be likely to give rise to significant environmental effects on European Designated Sites. The screening report states: *'Following this analysis, Stroud District Council considers that the NDP is unlikely to have any significant effects on European Designated Sites and can therefore be screened out from any requirement for further assessment under the Habitat Regulations.'* A copy of the HRA screening report is included in Appendix 2.

Appendices

Appendix 1: Map of designated Neighbourhood Plan Area



Appendix 2: Brimscombe and Thrupp Neighbourhood Development Plan – SEA and HRA Screening Report Consultation Draft (overleaf)



STROUD DISTRICT COUNCIL

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Case Officer



Our Ref:

BandT/SEA/HRA

Date:

28/09/2022

Dear Sir/Madam,

Brimscombe and Thrupp Neighbourhood Development Plan – SEA and HRA Screening Report Consultation Draft

To assist production of the Brimscombe and Thrupp Neighbourhood Development Plan, Stroud District Council has undertaken a Strategic Environmental Assessment screening exercise. This is to determine whether or not the Neighbourhood Development Plan (NDP) would require a Strategic Environmental Assessment (SEA) and/or a Habitats Regulations Assessment (HRA).

SEA Screening Opinion – Stroud District Council

A draft NDP, received in June 2022 by Stroud District Council, was used to consider the likely environmental effects of the draft policies of the emerging Brimscombe and Thrupp NDP. To ensure legal compliance and a robust screening opinion Stroud District Council considered the likely effects of the NDP against the criteria in Schedule I, of the Environmental Assessment of Plans and Programmes Regulations 2004. The consideration of likely effects against the Schedule I criteria are available to view in **appendix 1**.

Following analysis of likely significant effects, Stroud District Council considers that the NDP is unlikely to have any significant effects on the environment. Therefore, the Council determines that Strategic Environmental Assessment of the Brimscombe and Thrupp Neighbourhood Development Plan is not required.

As required by Regulation 9(2)(a) of the Environmental Assessment of Plans and Programmes Regulations 2004 the Council's screening opinion was sent to Historic England, Natural England and the Environment Agency, to arrive at a determination in consultation with the statutory consultees.

SEA Screening Opinion – Statutory Consultees

All three statutory consultees agreed with Stroud District Council's screening opinion, that the Brimscombe and Thrupp Neighbourhood Development Plan was unlikely to give rise to significant environmental effects and therefore would not require Strategic Environmental Assessment.

The response of Historic England, Natural England and the Environment Agency can be viewed in **appendix 2**.

Habitat Regulation Assessment Screening Opinion – Stroud District Council

Stroud District Council has also used the analysis of likely significant effects to consider whether the NDP would be likely to give rise to significant environmental effects on European Designated Sites. Following this analysis, Stroud District Council considers that the NDP is unlikely to have any significant effects on European Designated Sites and can therefore be screened out from any requirement for further assessment under the Habitat Regulations.

Habitat Regulation Assessment Screening Opinion – Natural England

Natural England utilised the information within the analysis of likely significant effects to also determine that the NDP would be unlikely to give rise to significant environmental effects on European Designated Sites.

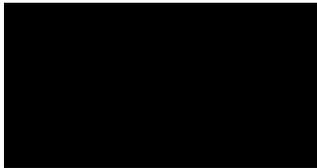
Natural England's determination is also available to view in **Appendix 2**.

Determination

The Brimscombe and Thrupp Neighbourhood Development Plan is unlikely to give rise to significant environmental effects which would require Strategic Environmental Assessment, or have significant effects on European Designated Sites that would require consideration under the Habitats Directive. This determination has been arrived at in agreement with relevant statutory consultees.

For the purpose of demonstrating that the NDP is unlikely to have significant effects on the environment; as required by regulation 15 of the Neighbourhood Planning (General) Regulations 2012 (as amended by the Neighbourhood Planning (General) (Amendment) Regulations 2015), pages 3 to 29 of this document could be considered to form the statement of reasons.

Yours Faithfully



Head of Planning Strategy

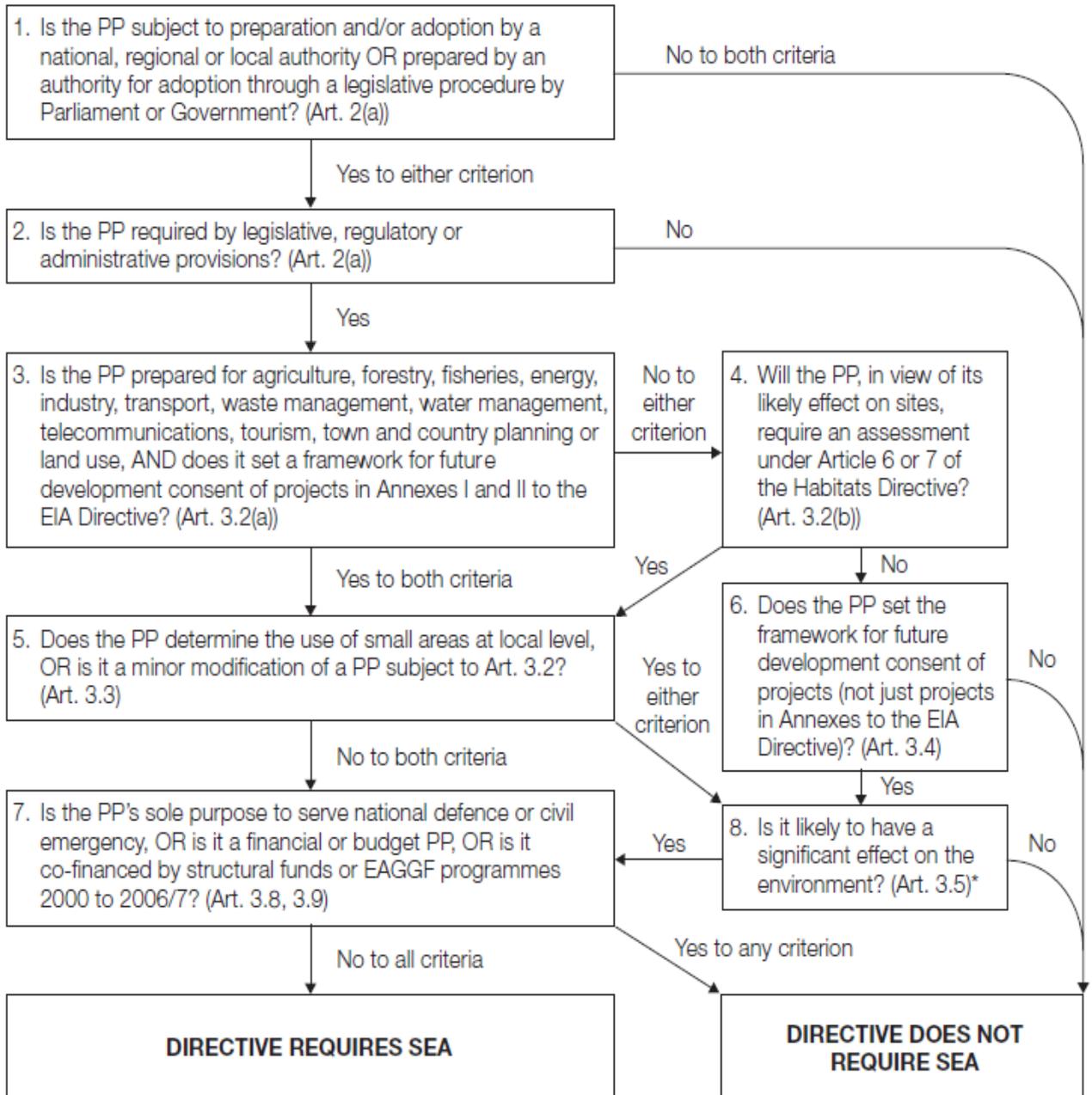
Stroud District Council

REASONS FOR DECISION

Extract from 'A Practical Guide to the Strategic Environmental Assessment Directive', DCLG 2005:

Figure 2 – Application of the SEA Directive to plans and programmes

This diagram is intended as a guide to the criteria for application of the Directive to plans and programmes (PPs). It has no legal status.



*The Directive requires Member States to determine whether plans or programmes in this category are likely to have significant environmental effects. These determinations may be made on a case by case basis and/or by specifying types of plan or programme.

APPLICATION OF SEA DIRECTIVE AS SHOWN ABOVE

	Question	Response
1	Is the plan subject to preparation and/or adoption by a national, regional or local authority OR prepared by an authority for adoption through a legislative procedure by Parliament or Government?	YES. The preparation of the Brimscombe and Thrupp NDP is allowed under the Town and Country Planning Act 1990 as amended by the Localism Act 2011. The NDP will be “made” (adopted) by Stroud District Council once it has passed through the formal stages of its preparation under the Neighbourhood Planning (General) Regulations 2012 (As Amended). Go to stage 2
2	Is it required by legislative, regulatory or administrative provisions?	NO. There is no legal, regulatory or administrative requirement to produce a neighbourhood plan. The preparation of a NDP is an option for communities under the Town and Country Planning Act 1990 as amended by the Localism Act 2011. However, If the NDP passes all the stages required under the Neighbourhood Planning (General) Regulations 2012, it will be “made” by the District Council and will become part of the development plan for the District. It therefore important that the screening process considers whether it is likely to have significant environmental effects and hence whether SEA is required. Go to Stage 3
3	Is it prepared for agriculture, forestry, fisheries, energy, industry, transport, waste management, water management, telecommunications, tourism, town and country planning or land use, AND does it set a framework for future development consent of projects in Annexes I and II to the EIA Directive?	YES. The NDP is being prepared for town and country planning purposes. The plan sits within a wider framework, set by National Planning Policy Framework and the Stroud District Local Plan. The framework set by this draft NDP is for localised projects and activities, with limited effects and resource implications. Go to stage 5
4	In view of its likely effect on sites, will it require an assessment under Article 6 or 7 of the Habitats Directive?	NO. See Table 1 Go to stage 6
5	Does it determine the use of small areas at local level, OR is it a minor modification of a plan?	YES. The NDP will guide the determination of planning applications relating to the use of land within the neighbourhood area boundary. Go to stage 8

6	Does it set the framework for future development consent of projects (not just projects in Annexes to the EIA Directive)?	YES. The NDP will be used to determine future planning applications. Go to stage 8
7	Is its sole purpose to serve national defence or civil emergency, OR is it a financial or budget plan, OR is it co-financed by structural funds or EARDF programmes?	NO. The NDP does not relate to defence, civil emergency or financial planning. Nor is its purpose to secure European funds. Go to stage 8
8	Is it likely to have a significant effect on the environment?	NO. The framework set by this NDP is for localised projects and activities, with limited effects and resource implications. The likely significance of effects is explored further in appendix 1.

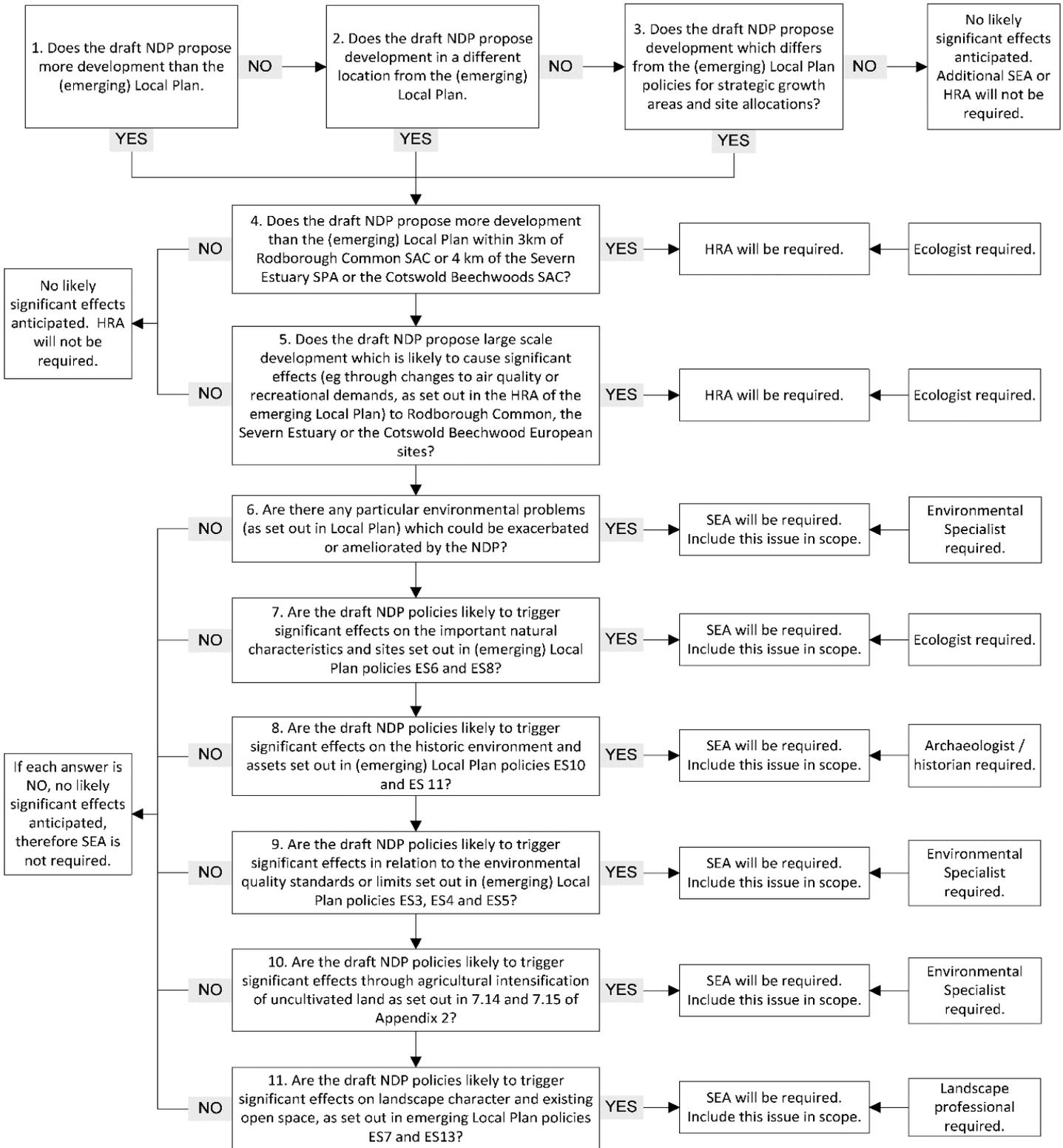
ASSESSMENT

The diagram below was designed to assist the consideration of whether an emerging NDP requires Strategic Environmental Assessment under the Environmental Assessment of Plans and Programmes Regulations 2004 (the SEA Regs) and/or Habitats Regulations

Assessment under the Conservation of Habitats and Species Regulations 2010 (the HRA Regs):

**Neighbourhood Development Plans (NDP) -
is an Habitat Regulations Assessment (HRA) or Strategic Environmental Assessment (SEA) required?**

Apply all questions in order of 1 to 11



The table below contains the assessment of whether the Brimscombe and Thrupp NPD will require a full SEA/HRA. The questions below are drawn from the diagram above:

Table 1	
Question	Response
<p>1. Does the Draft NDP propose more development than the Stroud District Local Plan (SDLP)?</p>	<p>NO The NDP does not allocate sites or propose development in any particular location. As such, no likely significant effects are anticipated.</p>
<p>2. Does the NDP propose development in a different location from the SDLP?</p>	<p>NO. The NDP recognises the role of the Parish in providing Strategic sites for the emerging SDLP but does not specify additional locations. As such no likely significant effects are anticipated.</p>
<p>3. Does the NDP propose development which differs from the SDLP policies for strategic growth areas and site allocations?</p>	<p>NO. See 1 and 2. No likely significant effects are anticipated.</p>
<p>4. Does the NDP propose more development than the SDLP within 3km of Rodborough Common SAC, 4km of the Cotswold Beechwoods SAC, or within the proposed 7.7km catchment zone of the Severn Estuary SPA?</p>	<p>NO See 1 and 2. No likely significant effects are anticipated.</p>
<p>5. Does the NDP propose large scale development which is likely to cause significant effects (e.g. through changes to air quality or recreational demands, as set out in the HRA of the SDLP) to Rodborough Common, the Severn Estuary or the Cotswold Beechwood European sites?</p>	<p>NO See 1 and 2. No likely significant effects are anticipated.</p>
<p>6. Are there any particular environmental problems (as set out in the SDLP) which could be significantly exacerbated or ameliorated by the NDP?</p>	<p>NO The SDLP identifies the following environmental issues for the Stroud Valleys strategic growth area which are relevant to the Parish of Brimscombe and Thrupp:</p> <ul style="list-style-type: none"> • Maintaining and improving the sustainability of our villages

	<ul style="list-style-type: none"> • Conserving and enhancing Stroud District's countryside and biodiversity • Minimising waste generation and increasing recycling <p>The NDP sets out the following objectives:</p> <ul style="list-style-type: none"> • To increase our community's resilience in the face of the challenges associated with climate emergency, and to take action locally to make our community sustainable now and for future generations. • To address barriers to sustainable transport and active travel. • To protect and enhance the area's most valued open spaces and improve connectivity. • To conserve and enhance the distinct character of our area, and to enable and encourage good design that contributes positively to our existing environment. • To enable early, proactive and positive involvement in the shaping of new places and communities. • To ensure the heritage of industry, innovation and broad economic activity continues. • To retain and enhance our strong community spirit supported by a range of activities (see projects), services and facilities <p>The SDLP provides an appropriate policy mechanism that allows the pursuit of the above objectives whilst avoiding any significant impact. Therefore, no likely significant effects are anticipated.</p>
<p>7. Are the draft NDP policies likely to trigger significant effects on the important natural characteristics and sites set out in the SDLP policies ES6, ES7 and ES8?</p>	<p>NO</p> <p>The identified important natural characteristics are:</p> <ul style="list-style-type: none"> ❖ Policy ES6 - Providing for biodiversity and geodiversity <ul style="list-style-type: none"> ▪ Agricultural Land Classification (Provisional) – The NDP area falls mostly within category 3,4 and 5, with unclassified urban areas along the valley bottom. ▪ Key Wildlife Sites - Within & nearby: <ul style="list-style-type: none"> ▪ River Frome Mainstream and Tributaries ▪ Sealey Wood KWS - Ancient semi-natural broad-leaved woodland ▪ Claypits Wood (South) ▪ Claypits Wood (North) ▪ September Cottage Field ▪ Yew Tree Farm Meadow ▪ Park Wood ▪ Hilsdon Meadows ▪ Stringers Wood ▪ Lawrenceland

	<ul style="list-style-type: none"> ▪ Mackhouse and Lawrenceland woods <p>❖ Policy ES7 – Landscape Character</p> <ul style="list-style-type: none"> ▪ Only the industrial valley bottom along the A419 corridor is not within the Cotswold Area of Outstanding Natural Beauty (AONB) ▪ Section B of the Stroud Landscape Assessment identifies the landscape character type of the NDP area as: <ul style="list-style-type: none"> ▪ <i>Wold tops</i> – covering a section to the north east of the NDP Area. ▪ <i>Secluded Valleys</i>– covering the rest of the NDP area <p>❖ Policy ES8 - Trees, hedgerows and woodlands</p> <ul style="list-style-type: none"> ▪ The NDP area contains Ancient Woodlands and a number of individual trees protected by Tree Preservation Orders. <p>The NDP does not make any site specific allocations or identify any quantum outputs. The SDLP provides the appropriate policy mechanism to avoid any significant effects on the important natural characteristics and sites set out in SDLP policies ES6, ES7 and ES8.</p> <p>Therefore, no likely significant effects are anticipated.</p>
<p>8. Are the draft NDP policies likely to trigger significant effects on the historic environment and assets set out in the SDLP policies ES10 and ES11?</p>	<p>NO. The NDP does not make any site specific allocations or identify any quantum outputs.</p> <p>Policies ES10 and ES11 of the SDLP provide an appropriate framework for the assessment and evaluation of potential development in advance of the determination of planning applications.</p> <p>Therefore, no likely significant effects are anticipated.</p>
<p>9. Are the draft NDP policies likely to trigger significant affects in relation to the environmental quality standards or limits set out in the SDLP policies ES3, ES4 and ES5?</p>	<p>NO. The environmental quality standards or limits indentified by the SDLP are:</p> <ul style="list-style-type: none"> • Noise, general disturbance, smell, fumes, loss of daylight or sunlight, loss of privacy or an overbearing effect

	<ul style="list-style-type: none"> • Environmental pollution to water, land or air and an unacceptable risk to the quality and quantity of a water body or water bodies • Noise sensitive development in locations where it would be subject to unacceptable noise levels • Increased risk of flooding on or off the site, and no inclusion of measures to reduce the causes and impacts of flooding • A detrimental impact on highway safety • An adverse effect on contaminated land where there is a risk to human health or the environment. • Water resources, quality and flood risk • Air Quality <p>The valley bottom corridor running through the NDP area, where the River Frome and Stroudwater Canal is located, is located within Flood Zones 2 and 3.</p> <p>Potential development within the NDP area could bring positive and/or negative effects in relation to the environmental quality standards or limits identified by the SDLP.</p> <p>However, since the NDP is void of firm site-specific allocation policies where physical change is advocated and quantum outputs identified, it is considered that Policies ES3, ES4 and ES5 provide the appropriate policy mechanism to avoid any significant environmental impact to living conditions.</p> <p>Therefore, no likely significant effects are anticipated.</p>
<p>10. Are the draft NDP policies likely to trigger significant effects through agricultural intensification of uncultivated land as set out in 7.14 and 7.15 of appendix 2 of Environmental Impact Assessment (Agriculture) (England) Regulations 2006?</p>	<p>NO.</p> <p>The NDP does not have policies promoting the agricultural intensification of uncultivated land as set 7.14 and 7.15 of appendix 2 of the Environmental Impact Assessment (Agriculture) (England) Regulations 2006</p> <p>Therefore, no likely significant effects are anticipated.</p>
<p>11. Are the draft NDP policies likely to trigger significant effects on landscape character and existing open space, as set out in the SDLP policies ES7, ES13 and ES15?</p>	<p>NO.</p> <ul style="list-style-type: none"> ❖ Policy ES7 – Landscape Character <ul style="list-style-type: none"> ▪ The Parish is mostly within the Cotswold Area of Outstanding Natural Beauty (AONB). ▪ Section B of the Stroud Landscape Assessment identifies the landscape character type of the NDP area as:

- *Wold tops* – covering a section to the north east of the NDP Area.
- *Secluded Valleys*– covering the rest of the NDP area

The NDP does not make any site specific allocations or identify any quantum outputs.

Policies LRD1 and LRD2 of the draft NDP set out a framework for protecting the landscape character of the NDP area.

Policy ES7 of the SDLP puts in place an appropriate policy mechanism to guide the assessment of potential future development and ensure that significant effect on the wider landscape character is avoided.

Therefore, **no likely significant effects are anticipated.**

APPENDIX 1

LIKELY SIGNIFICANCE OF EFFECTS ON THE ENVIRONMENT

SEA DIRECTIVE CRITERIA FOR DETERMINING THE LIKELY SIGNIFICANCE OF EFFECTS	RESPONSE IN RELATION TO: NDP	LIKELY SIGNIFICANT ENVIRONMENTAL EFFECT?
<p>The degree to which the plan or programme sets a framework for projects and other activities, either with regard to the location, nature, size and operating conditions or by allocating resources.</p>	<p>The Brimscombe and Thrupp NDP would, if adopted, form part of the Statutory Development Plan. As a result the document would contribute to the framework for future development consents of projects. However, this neighbourhood plan sits within a wider framework, set by National Planning Policy Framework and Stroud District Council Local Plan documents, the adopted Stroud District Local Plan (SDLP). Therefore, the framework that is set by the NDP is for localised projects and activities, with limited effects and resource implications.</p>	<p>There will be no likely significant positive or negative environmental effect</p>
<p>The degree to which the plan or programme influences other plans and programmes including those in the hierarchy.</p>	<p>The NDP adds local level detail to assist in determining planning applications within the neighbourhood area boundary and does not influence higher level plans. The plan will become a material consideration in the determination of applications for planning permission.</p> <p>The policies within the NDP are considered to be in conformity with the wider framework and strategic direction of the SDLP.</p> <p>The SDLP has been subject to a full Sustainability Appraisal incorporating a Strategic Environmental Assessment.</p>	<p>There will be no likely significant positive or negative environmental effect</p>
<p>The relevance of the plan or programme for the integration of environmental consideration in particular with a view to promoting sustainable development</p>	<p>The NDP sets out local level policies, which are in general conformity with the SDLP.</p> <p>SDLP policies have been subjected to a Sustainability Appraisal incorporating a Strategic Environmental Assessment.</p>	<p>There will be no likely significant positive or negative environmental effect</p>

<p>Environmental problems relevant to the plan or programme</p>	<p>Please refer to question 6 of table 1</p>	<p>There will be no likely significant positive or negative environmental effect</p>
<p>The relevance of the plan or programme for the implementation of community legislation on the environment (e.g. plans and programmes linked to waste-management or water protection).</p>	<p>The plan is not considered to be directly responsible or related to implementing community legislation on the environment.</p> <p>The SDLP working with the relevant statutory agents provide the appropriate framework to avoid significant harmful effects.</p>	<p>There will be no likely significant positive or negative environmental effect</p>
<p>The probability, duration, frequency and reversibility of the effects</p>	<p>The NDP is void of site-specific allocation policies where physical change is advocated and quantum outputs identified</p>	<p>There will be no likely significant positive or negative environmental effect</p>
<p>The cumulative nature of the effects</p>	<p>The NDP is void of firm site-specific allocation policies where physical change is advocated and quantum outputs identified</p> <p>The SDLP working with the relevant statutory agents provide the appropriate framework to avoid significant harmful effects.</p> <p>Due to its scope and coverage, the plan is not considered to create any cumulative effects of significance.</p>	<p>There will be no likely significant positive or negative environmental effect</p>
<p>The trans boundary nature of the effects</p>	<p>The following are wholly or partly within the NDP area:</p> <ul style="list-style-type: none"> • Stinchcombe Hill SSSI • Box Farm Meadows SSSI <p>The NDP area is approximately:</p> <ul style="list-style-type: none"> • 0.4km away from Coaley Wood Quarries SSSI • 2.0 km from Nibley Knoll SSSI • 2.0 km from Woodchester Park SSSI • 6km from Upper Severn Estuary –SAC/SPA/RAMSAR <p>Development within the NDP area could increase visitor pressure on the above sites leading to potential habitat degradation.</p>	<p>There will be no likely significant positive or negative environmental effect</p>

	<p>However, the NDP does not make any - specific allocation or identify any quantum outputs.</p> <p>Potential growth within the NDP area could, if necessary, be accommodated by using policy ES6 of the SDLP.</p> <p>Therefore, the NDP is not considered to create significant trans-boundary issues.</p>	
<p>The risks to human health or the environment (e.g. due to accidents)</p>	<p>The NDP is not considered to create significant risks to human health or the environment.</p> <p>The NDP encourages the use of best environmental standards and mitigation measures where possible and appropriate.</p> <p>The SDLP provides an appropriate framework to avoid significant harmful effects.</p>	<p>There will be no likely significant positive or negative environmental effect</p>
<p>The magnitude and spatial extent of the effects (geographical area and size of the population likely to be affected).</p>	<p>The NDP relates to the Parish of Brimscombe and Thrupp. It covers an area of approximately 374 hectares with a population of under 2000.</p> <p>Effects arising from the NDP policies are considered to have very localised effects such as on immediately adjacent buildings, building users or localised areas within the Brimscombe and Thrupp neighbourhood.</p> <p>The magnitude and spatial extent of any potential effects are very limited and not considered to be of significance to warrant Strategic Environmental Assessment.</p>	<p>There will be no likely significant positive or negative environmental effect</p>
<p>The value and vulnerability of the area likely to be affected due to:</p> <p>Special natural characteristics or cultural heritage;</p> <p>Exceeded environmental quality standards or limit values; Intensive land uses.</p>	<p>Please refer to assessment in table 1</p>	<p>There will be no likely significant positive or negative environmental effect</p>

And;

The effects on areas or landscapes, which have a recognised national, Community or international protection status.

**APPENDIX 2
RESPONSE FROM STATUTORY CONSULTEES**

HISTORIC ENGLAND

From: [REDACTED]
Sent: 27 July 2022 15:04
To: [REDACTED]
Subject: Brimscombe and Thrupp NDP - SEA/HRA Screening Opinion - formal response

Dear [REDACTED]

Thank you for your invitation to comment on the SEA screening opinion for Brimscombe and Thrupp Neighbourhood Development Plan.

Ordinarily, we would find a full SEA exercise necessary only where allocations of development sites are being proposed. On this occasion, we note that there are no specific site allocations within the emerging neighbourhood plan. Therefore, we concur with your opinion that a full SEA exercise is not required.

We do not seem to have been notified at the earlier designation stage of the neighbourhood planning process. In future, it would be helpful to be consulted at the earliest opportunity.

It may be helpful to forward our standard advice, on the preparation of neighbourhood plans, to the Neighbourhood Plan Steering Group, which I have copied below.

<https://historicengland.org.uk/images-books/publications/neighbourhood-planning-and-the-historicenvironment/>

Further to this, I would like to suggest some generic guidance that is likely to be helpful to the steering group in drafting a Neighbourhood Plan. I have attached a list of sources of information. I have also attached our generic guidance on plan preparation and a live list we have compiled of those plans in the Southwest that we have come across which are notable from a heritage perspective. You will see that this covers a wide range of themes to draw upon.

We have no further comments to make at this stage. However, we wish the Neighbourhood Planning Group well with their ongoing work and look forward to having the opportunity to comment further at the Regulation 14 stage.

Kind Regards,

[REDACTED]

[REDACTED] | Historic Places Adviser

Historic England | South West
1st Floor Fermentation North | Finzels Reach | Hawkins Lane | Bristol | BS1 6WQ
Direct Line: [REDACTED]
<https://historicengland.org.uk/southwest>

ENVIRONMENT AGENCY

From: [REDACTED]

Sent: 10 September 2022 17:49

To: [REDACTED]

[REDACTED] District Council SEA/HRA Screening Opinion - Brimscombe and Thrupp NDP

Hi [REDACTED]

Apologies for the oversight in not having responded to your email before now. We have a standard process /response for these consultations, which is as follows:

A strategic environmental assessment may be required, for example, where:

- *a neighbourhood plan allocates sites for development*
- *the neighbourhood area contains sensitive natural or heritage assets that may be affected by the proposals in the plan*
- *the neighbourhood plan is likely to have significant environmental effects that have not already been considered and dealt with through a sustainability appraisal of the [Local Plan](#)*

We would only make substantive further comments on the plan if you were seeking to allocate sites in flood zone 3 and 2 (the latter being used as the 1% climate change extent).

Having just responded to the Regulation 14 Consultation on the NDP itself (copy enclosed for information), I note that no sites are specifically proposed within the NDP itself. As such we would have no comments to make, and concur with your Screening Opinion.

Kind regards,

[REDACTED]
[REDACTED]
[REDACTED]

**Planning Specialist – Sustainable Places
Environment Agency - West Midlands Area**

NATURAL ENGLAND

Date: 09 August 2022

Our ref: 400811

Your ref: Brimscombe and Thrupp Neighbourhood Plan

BY EMAIL ONLY



Hornbeam House
Crewe Business Park
Electra Way
Crewe
Cheshire
CW1 6GJ

T 0300 060 3900

Dear Mr Maher

Draft Brimscombe and Thrupp **Neighbourhood Plan – SEA & HRA Screening**

Thank you for your consultation on the above dated and received by Natural England on 22 June 2022.

Natural England is a non-departmental public body. Our statutory purpose is to ensure that the natural environment is conserved, enhanced, and managed for the benefit of present and future generations, thereby contributing to sustainable development.

Screening Request: Strategic Environmental Assessment

It is our advice, on the basis of the material supplied with the consultation, that, in so far as our strategic environmental interests (including but not limited to statutory designated sites, landscapes and protected species, geology and soils) are concerned, that there are unlikely to be significant environmental effects from the proposed plan.

Neighbourhood Plan

Guidance on the assessment of Neighbourhood Plans, in light of the Environmental Assessment of Plans and Programmes Regulations 2004 (as amended), is contained within the National Planning Practice Guidance. The guidance highlights three triggers that may require the production of an SEA, for instance where:

- a neighbourhood plan allocates sites for development
- the neighbourhood area contains sensitive natural or heritage assets that may be affected by the proposals in the plan
- the neighbourhood plan may have significant environmental effects that have not already been considered and dealt with through a sustainability appraisal of the Local Plan.

We have checked our records and based on the information provided, we can confirm that in our view the proposals contained within the plan will not have significant effects on sensitive sites that Natural England has a statutory duty to protect.

We are not aware of significant populations of protected species which are likely to be affected by the policies / proposals within the plan. It remains the case, however, that the responsible authority should provide information supporting this screening decision, sufficient to assess whether protected species are likely to be affected.

Notwithstanding this advice, Natural England does not routinely maintain locally specific data on all potential environmental assets. As a result the responsible authority should raise environmental issues that we have not identified on local or national biodiversity action plan species and/or habitats, local wildlife sites or local landscape character, with its own ecological and/or landscape advisers, local

record centre, recording society or wildlife body on the local landscape and biodiversity receptors that may be affected by this plan, before determining whether an SA/SEA is necessary.

Please note that Natural England reserves the right to provide further comments on the environmental assessment of the plan beyond this SEA/SA screening stage, should the

responsible authority seek our views on the scoping or environmental report stages. This includes any third party appeal against any screening decision you may make.

Habitats Regulations Assessment (HRA) Screening

Natural England agrees with the report's conclusions that the Draft Brimscombe and Thrupp Neighbourhood Plan would not be likely to result in a significant effect on any European Site, either alone or in combination and therefore no further assessment work would be required.

For any new consultations, or to provide further information on this consultation please send your correspondences to consultations@naturalengland.org.uk.

Yours sincerely

A solid black rectangular box used to redact the signature of the sender.

Consultations Team

Appendix 3

Explanatory Memorandum to

**THE ENVIRONMENTAL IMPACT ASSESSMENT (AGRICULTURE) (ENGLAND)
REGULATIONS 2006**

App 2
7.14 +
7.15

EXPLANATORY MEMORANDUM TO
**THE ENVIRONMENTAL IMPACT ASSESSMENT
(AGRICULTURE) (ENGLAND) REGULATIONS 2006**

2006 No. 2362

1. This explanatory memorandum has been prepared by the Department for Environment, Food and Rural Affairs and is laid before Parliament by Command of Her Majesty.
 - 1.1 This memorandum contains information for the Joint Committee on Statutory Instruments.
2. **Description**
 - 2.1 The Regulations implement the EIA Directive and the Habitats Directive in that they
 - replace the existing EIA Regulations applying to projects for the use of uncultivated land and semi-natural areas for intensive agricultural purposes; and
 - introduce new rules applying to projects for the restructuring of rural land holdings.
 - 2.2 The Regulations require an assessment of whether such projects, above certain thresholds, are likely to have significant effects on the environment. If so, an environmental impact assessment and public consultation must take place before a final consent decision is made.
3. **Matters of special interest to the Joint Committee on Statutory Instruments**
 - 3.1 Regulation 38 revokes the Environmental Impact Assessment (Uncultivated Land and Semi-Natural Areas) (England) Regulations 2001 (S.I. 2001/3966, amended by S.I. 2005/1430), which were reported for defective drafting by the Joint Committee in its 17th Report of the 2001–2002 Session.
4. **Legislative Background**
 - 4.1 The Regulations transpose Council Directive 85/337/EEC on the assessment of the effects of certain public and private projects on the environment (as amended by Council Directive 97/11/EC and Directive 2003/35/EC) (“the EIA Directive”).
 - 4.2 They also transpose Council Directive 92/43/EEC on the conservation of natural habitats and of wild flora and fauna (as last amended by the Act of Accession of the new Member States) (“the Habitats Directive”) to the extent that the projects

under consideration might have a significant effect on sites designated under that Directive.

- 4.3 The EIA Directive is implemented in the UK through a range of legislation dealing with land-use matters, including legislation relating to town and country planning, transport, afforestation and deforestation, land drainage and water management projects. The Habitats Directive is primarily implemented by the Conservation (Natural Habitats, &c.) Regulations 1994 (S.I. 1994/2716, as amended).
- 4.4 These Regulations implement the EIA Directive in respect of the projects listed in Annex II (1)(a) and (b)—
 - projects for the restructuring of rural land holdings (“restructuring projects”); and
 - projects for the use of uncultivated land and semi-natural areas for intensive agricultural purposes (“uncultivated land projects”).
- 4.5 Regulations in relation to uncultivated land projects were brought into force in England in February 2002 (see S.I. 2001/3966, amended by S.I. 2005/1430) (“the 2001 Regulations”). Similar Regulations were brought into force in Scotland, Wales and Northern Ireland at around the same time.
- 4.6 The 2001 Regulations were amended in 2005 to reflect the changes to the EIA Directive made by Directive 2003/35/EC on public participation.
- 4.7 These Regulations stem from a review of the 2001 Regulations (as amended), the need to legislate in respect of restructuring projects, and a public consultation on those issues. They revoke the 2001 Regulations.
- 4.8 A Transposition Note for the Regulations is attached at Annex 1.
5. **Extent**
 - 5.1 These Regulations apply to England only. The Devolved Administrations are responsible for implementing the EIA Directive in their respective territories.
6. **European Convention on Human Rights**
 - 6.1 As the instrument is subject to negative resolution procedure and does not amend primary legislation, no statement is required.
7. **Policy background**
 - 7.1 The purpose of the EIA Directive is to ensure that the environmental effects of a very broad range of development projects are considered before the projects are allowed to go ahead, and ensures that the consent procedure is open to public participation.

- 7.2 The Regulations are necessary because the town and country planning system does not consider any change in the use of land to agricultural use. Thus some projects were not subject to the assessment process required by the EIA Directive under its original transposition. The European Commission brought this point to the attention of the UK authorities in the late 1990s in relation to uncultivated land projects, and the 2001 Regulations were brought in to remedy the position.
- 7.3 The 2001 Regulations were prayed against and debated in the House of Commons in 2002 (see Hansard, House of Commons Debates, Volume 379, columns 482–503). During the debate, the Minister (Elliott Morley) made a commitment to review those Regulations once they had bedded-in.
- 7.4 The review was delayed until the outcomes of the reform to the common agricultural policy in 2003 and 2004 became clearer. The European Commission also made further representations in 2003 on the lack of legislation in respect of restructuring projects.

Consultation

- 7.5 The 2005 review of the 2001 Regulations recognised the need to bring in appropriate legislation on restructuring projects. After the review was completed, Defra engaged in a consultation with the public, industry and stakeholders on the policy in the Regulations. This includes a public consultation which was launched in August 2005. A copy can be found on Defra's website at this address—

<http://www.defra.gov.uk/farm/environment/land-use/eia>.

- 7.6 A summary of the responses to the consultation can be found on the same page.

The effect of the Regulations

- 7.7 The 2001 Regulations met one of the Department's objectives of protecting the countryside and natural resources. They were an effective partner to the more targeted regimes protecting sites of special scientific interest and specific species of animals and plants. The new Regulations are intended to continue to protect important natural resources and features of the landscape while meeting the Department's aims of reducing administrative burdens on farmers and producing better regulation.
- 7.8 The Regulations are similar in effect to the 2001 Regulations, which farmers and land managers are familiar with. But the following changes (some of which are outlined in more detail below) are significant—
- Natural England is the new regulator
 - restructuring projects are now part of the regime
 - the meaning of "uncultivated land" has been clarified
 - the meaning of "for intensive agricultural purposes" has been clarified

- projects only require assessment if they are above certain size thresholds ...
 - ... unless the use of thresholds has been removed by a "screening notice" applying to an area of land
 - "reinstatement notices" are now "remediation notices"
 - powers to issue stop notices have been re-drawn
 - appeals against decisions and notices lie to the Secretary of State
 - prosecutions can now be brought within six months of the discovery (instead of the commission) of an offence, as long as they are brought within 2 years
 - in prosecutions, there is a presumption that land is "uncultivated land" unless the defendant raises an issue that land is not uncultivated land, in which case the prosecution must prove that the land is uncultivated land beyond reasonable doubt
 - the Single Payment Scheme's cross-compliance rules are updated to reflect the changes.
- 7.9 In essence, the Regulations contain a two-stage consent process. First, if a farmer or land manager wishes to carry out a project of a scale equal to or above the threshold, he must apply to Natural England for a screening decision. Natural England will decide whether the project is likely to have significant effects on the environment. If the project is not likely to have significant effects, it can go ahead.
- 7.10 Secondly, if a project is likely to have significant effects on the environment, the applicant must submit an environmental statement assessing the effects of the project on the environment and the application must be subject to public consultation (which, if necessary, must extend to other EEA States). Following the consultation there is a final consent decision.

Further details of some changes

- 7.11 Natural England, the new agency comprising English Nature, the Countryside Agency and Defra's Rural Development Service ("RDS"), will be the regulator. Natural England will take over the role of administering the regime from RDS, which administered the 2001 Regulations.
- 7.12 Restructuring projects are a new aspect of the regime. The Department takes the view that restructuring projects include physical operations which give a significantly different physical structure to the arrangement of one or more agricultural land holding, and include—
- the removal or addition of substantial lengths of field boundaries such as hedges, hedge-banks, walls, fences, and ditches; and
 - the re-contouring of rural land, for instance by moving large quantities of earth and rock.
- 7.13 The Regulations avoid overlap with similar regulatory regimes by specifically excluding work which is covered by other regimes: forestry projects,

development under the planning system, land drainage and water management projects, removal of hedgerows and work on common land.

7.14 Uncultivated land projects are subject to two clarifications—

- The definition of “uncultivated land” has been changed to mean land which has not been cultivated in the last 15 years, in order to make the Regulations easier to understand and apply; this is intended to reduce the number of wasted applications. Cultivation operations include any agricultural activity which physically affects the land, such as ploughing, harrowing, slot seeding, adding chemical fertilisers and adding slurry or manure. Cultivation does not include operations such as cutting grass, which does not affect the land itself.
- The meaning of “for intensive agricultural purposes” is given as “to increase the productivity for agriculture”. This is wider than the interpretation given to the phrase “for intensive agricultural purposes” in the case of Alford v. Defra [2005] EWHC 808 (Admin), which did not enable the UK to meet the aims of the EIA Directive.

7.15 The introduction of thresholds before projects are caught by the Regulations reduces the administrative burden imposed on land managers. Many projects which were formerly subject to the regime were found to be unlikely to have significant effects on the environment, and those projects should be excluded by the thresholds. The introduction of thresholds also bring the Regulations into line with other EIA regimes in the UK. The following thresholds apply—

- uncultivated land project 2 (2) hectares
- restructuring affecting an area of land 100 (50) hectares
- restructuring affecting boundaries 4 (2) kilometres
- restructuring involving a volume of earth 10,000 (5,000) cubic metres

(The figures in brackets apply in sensitive areas: National Parks, areas of outstanding natural beauty, the Broads, scheduled monuments)

7.16 Natural England may use screening notices to remove the application of thresholds from relatively modest areas of land: 20 hectares for uncultivated land projects, 150 hectares for restructuring projects. This enables the UK to meet the requirement of the EIA Directive to avoid cumulative significant effects on the environment caused by several projects and to ensure that smaller projects which are still likely to have significant effects are caught. Screening notices can only be applied in limited circumstances, requiring an assessment of the facts and risks in each case.

7.17 The extension of the time limit for prosecutions reflects the difficulty in discovering breaches of the Regulations and the need to gather expert evidence before bringing charges. Such an extension is now normal for environmental offences. The reversed burden of proof on the question of whether land is uncultivated land has been introduced because question usually turns on facts known to the land manager, who will be in the best position to raise an issue that the land is in fact uncultivated land.

Cross Compliance

- 7.18 Farmers in the Single Payment Scheme are required to comply with certain aspects of the Regulations as part of 'cross compliance'. Under that scheme, the payment of a full farm subsidy is dependent on adherence to certain laws and rules – the cross compliance conditions. Compliance with the 2001 Regulations was part of GAEC 5 (Good Agricultural and Environmental Condition 5) in the cross compliance handbook. A breach of the 2006 Regulations by beginning or carrying out an uncultivated land project, or by breaching a stop or remediation notice, could mean that the farmer's payments are reduced or withheld. But a person who begins or carries out a restructuring project will not be in breach of cross compliance (for the time being). The Regulations amend the relevant Regulations to make appropriate changes – essentially, the cross compliance condition is unchanged (but takes on board the introduction of thresholds, etc).

Guidance

- 7.19 Farmers and land managers will be provided with a summary of the effect of the rules and full guidance will be available to farmers wishing to make applications under the Regulations. Farmers will also be given guidance on the effects of the changes to cross compliance.

8. Impact

- 8.1 A Regulatory Impact Assessment has been prepared for this instrument and is attached at Annex 2.
- 8.2 Copies of the RIA are available from: Environmental Land Management Division, Defra, Ergon House (Area 5B), Horseferry Road, London SW1P 2AL (or from <http://www.defra.gov.uk/farm/environment/land-use/cia>).

9. Contact

- 9.1 Tom Coles (Environmental Land Management Division, Defra, Ergon House (Area 5B), Horseferry Road, London SW1P 2AL) with any queries regarding the instrument. Tel: 020 7238 5484 or e-mail: tom.coles@defra.gsi.gov.uk.



STROUD DISTRICT COUNCIL

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